

01 **UTILITY  
PATENT APPLICATION  
TRANSMISSION**

for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P21330 Total Pages 1

Inventor(s) or Application Identifier

Toshiro TSUCHIDA and Takatsugu NAKAZAWA

Title: TURN BASED VIDEO GAME THAT DISPLAYS CHARACTER BATTLE SEQUENCE

1129 U.S. ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

10/28/01 JC714 S-101  
2001/09/28/01  
U.S. APPLICATION ELEMENTS

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.  
a.  Small Entity Statement(s)

3.  Specification [Total Pages 52]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 7]

5.  Oath or Declaration [Total Pages 3]  
a.  Newly executed (original or copy)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 20 completed)  
[Note Box 6 below]  
i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Incorporation By Reference (useable if Box 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7.  Application Data Sheet. See 37 CFR 1.76

8.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

9.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statements verifying identity of above copies

10.  Assignment Papers (cover sheet & document(s))

11.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney

12.  English Translation Document (if applicable)

13.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

14.  Preliminary Amendment

15.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

16.  Figure of Drawing to be published 2

17.  Foreign priority claimed  
a.  Claim of Priority  
b.  Certified Copy of Priority Document(s)

18.  Assignee: SQUARE CO., LTD., of Tokyo, JAPAN

19.  Other: Cover Letter under 37 C.F.R. 1.53(b) and 1.53(f)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior Application No. / filed  
Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

21.  Amend the specification by inserting before the first line the sentence:

This application is a continuation-in-part, continuation, divisional, of Application No. / filed

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

9/28/01  
Date



**007055**

PATENT AND TRADEMARK OFFICE

*Leslie J. Bernstein Reg. No. 29,027*  
Signature 33,329

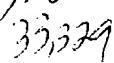
Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

# FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	T. TSUCHIDA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	(\$1,812.00)	Attorney Docket Number	P21330
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))</p> <p><input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p><input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p>				<p>3. 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<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Large Entity Fee Code</th> <th style="width: 10%;">Entity Fee (\$)</th> <th style="width: 10%;">Small Entity Fee Code</th> <th style="width: 10%;">Entity Fee (\$)</th> <th style="width: 40%;">Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> <p><b>SUBTOTAL (2) (\$1,102.00)</b></p>				Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim	109	80	209	40	Reissue independent claims over original patent	110	18	210	9	Reissue claims in excess of 20 and over original patent	581	40	581	40																																																																																																																																																																																																																																																
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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Bruce H. Bernstein			Reg. Number	29,027
Signature		Date	9/28/01	Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : T. TSUCHIDA et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : TURN BASED VIDEO GAME THAT DISPLAYS CHARACTER BATTLE  
SEQUENCE

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 52 pages of specification (including Abstract);
- 59 total claims; with 8 independent;
- 7 sheets of drawings with 11 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
T. TSUCHIDA et al.

*Bruce H. Bernstein* Reg. No.  
Bruce H. Bernstein 33,329  
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September 28, 2001  
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(703) 716-1191

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